



## **Notes on Data Protection for Shareholders and Shareholder Representatives**

As a “responsible party” within the meaning of Art. 4 (7) of the German General Data Protection Regulation (DS-GVO), SUSS MicroTec SE, in order to prepare for and conduct its Shareholders’ Meeting, processes the personal data of shareholders and possible shareholder representatives (particularly the name, address, date of birth, email address, number of shares, stock categories, type of share ownership, admission ticket number, share certificate number, and the issue of proxy voting authorization) based on the data protection regulations applicable in Germany. This enables shareholders and shareholder representatives to exercise their rights during the Shareholders’ Meeting and to ensure that negotiations and resolutions of the Shareholders’ Meeting proceed in accordance with the law and the articles of incorporation. If SUSS MicroTec SE does not receive this data from the shareholders and/or possible shareholder representatives, their custodian bank transmits this personal data to SUSS MicroTec SE.

The processing of the personal data of shareholders and possible shareholder representatives is absolutely necessary for their participation in the Shareholders’ Meeting. The legal basis for the processing is Art. 6 (1) lit. (c) of the DS-GVO in conjunction with Sections 67, 123, 129, 135 of the German Stock Corporation Law (AktG).

SUSS MicroTec SE enlists various service providers and consultants in order to organize the Shareholders’ Meeting. They receive only the personal data that is necessary to carry out their respective contracts. The service providers and consultants process this data exclusively in accordance with the instructions of SUSS MicroTec SE. In addition, personal data is provided to shareholders and possible shareholder representatives in accordance with legal provisions (e.g., inspection of the list of participants, cf. Section 129 (4) of the AktG).

Personal data is stored as long as this is legally allowed or the Company has a legitimate interest in its storage, for instance in the event of legal or extralegal disputes resulting from the Shareholders’ Meeting. Personal data will subsequently be deleted.

Under the statutory requirements, the validity of which must be reviewed on a case-by-case basis, shareholders and possible shareholder representatives have the right to request information regarding the processing of their personal data, the correction or deletion of their personal data or the restriction of its processing, as well as to obtain their personal data in a

structured, standard, and machine-readable format (data portability). Under the statutory requirements, the validity of which must be reviewed on a case-by-case basis, shareholders and possible shareholder representatives also have the right to object to the processing of their personal data.

Shareholders and possible shareholder representatives can claim these rights by contacting the following parties at SUSS MicroTec SE:

SUSS MicroTec SE  
Investor Relations  
Schleißheimer Straße 90  
85748 Garching, Germany  
Fax: +49 89 32007-451 or at the following  
email address: [ir@suss.com](mailto:ir@suss.com)

In addition, shareholders and shareholder representatives have a right in accordance with Art. 77 of the German General Data Protection Regulation (DS-GVO) to file a complaint with the data protection oversight authority either of the (German) state in which they maintain a home or permanent residence, or of the state of Bavaria, in which SUSS MicroTec SE is headquartered.

You may reach our Company data protection officer at:

Dr. Sebastian Kraska  
Attorney, business graduate (Dipl.-Kfm.)  
External data protection officer

IITR GmbH  
Marienplatz 2  
80331 Munich, Germany  
<http://www.iitr.de>  
Phone: +49 89 1891 7360

Garching, Germany, April 2019

SUSS MicroTec SE  
The Management Board